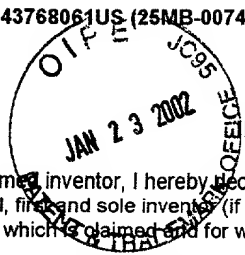


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RULE 63 (37 C.F.R. 1.63)

INVENTORS DECLARATION FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM FOR ASSESSING THE RISK OF PROJECTS

the specification of which (check applicable box(es)):

☒ is attached hereto  
☒ was filed on **July 5, 2001** as U.S. Application Serial No. **09/900,489**  
☐ was filed as PCT International application No. \_\_\_\_\_ on \_\_\_\_\_  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby ask that all communications in this matter be directed to: MAURICE J. PIRIO, ESQ. AT PERKINS COLE LLP, P.O. Box 1247, Seattle WA 98111-1247, telephone number (206) 583-8888.

1. Inventor's Signature: Mary B Phelps Date: 11/29/01 USA  
Inventor: Mary B. Phelps  
(first) (last) (citizenship)  
Residence: (city) Memphis (state/country) Tennessee  
Post Office Address: 7850 E. Holmes Road  
(Zip Code) 38125

2.

Inventor's Signature:

Inventor:

William F. Cooney  
(first) (last)  
/MI

Date:

November 29, 2001  
US  
(citizenship)

Residence: (city)

Niskayuna

(state/country)

New York

Post Office Address:

687 Acorn Drive

(Zip Code)

12309



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mary B. Phelps and William F. Cooney

Application No.: 09/900,489

COPY OF PAPERS  
ORIGINALLY FILED

Filed: July 5, 2001

For: SYSTEM FOR ASSESSING THE RISK OF PROJECTS

Docket No.: 243768061US (25MB-0074)

Sir:

**EXCLUSIVE POWER OF ATTORNEY  
AND EXCLUSIVE PROSECUTION HEREAFTER BY  
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

The undersigned being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints Maurice J. Pirio, 33,273; Jerry A. Riedinger, Registration No. 30,582; Michael D. Broaddus, Registration No. 41,637; Catherine Hong Tran, Registration No. 43,960; Robert G. Woolston, Registration No. 37,263; Paul T. Parker, Registration No. 38,264; John M. Wechkin, Registration No. 37,263; Christopher J. Daley-Watson, Registration No. 34,807; Steven D. Lawrenz, Registration No. 37,376; James A. D. White, Registration No. 43,985; Stephen E. Arnett, Registration No. 47,392; 47,485; James Nickelson, Registration No. 46,140; Breton A. Bocchieri, Registration No. 47,392; Barbara A. Courtney, Registration No. 42,442; Peter J. Dehlinger, Registration No. 28,006; Lee Ann Gorthey, Registration No. 37,337; Richard L. Gregory, Registration No. 42,607; Linda R. Judge, Registration No. 42,702; Michael

Martensen, Registration No. 46,901; Judy M. Mohr, Registration No. 38,563; Mark A. Thomas, Registration No. 37,953; and Larry Thrower, Registration No. 47,994 of the firm of Perkins Coie, LLP, P.O. Box 1247, Seattle, Washington 98111-1247, telephone number (206) 583-8888, facsimile number (206) 583-8500, along with Robert C. Lampe, Jr., Registration No. 27,915, Tyler Maddry, Registration No. 40,074, and Ernest G. Cusick, Registration No. 39,476, General Electric Co., 1 River Road, Bldg. 37, 5<sup>th</sup> Floor, Schenectady, NY 12345; James Mitchell, Registration No. 25,602, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; Ronald E. Myrick, Registration No. 26,315, General Electric Co., 3135 Easton Turnpike (W3C), Fairfield, CT 06431; Jay Chaskin, Registration No. 24,030, General Electric Co., 3135 Easton Turnpike (W3D62), Fairfield, CT 06431; James E. McGinness, Registration No. 33,260, GE Nuclear Energy, P.O. Box 780, Mail Code A11, Wilmington, NC 28401; and William B. Dyer III, Registration No. 41,725, GE Power Systems, 4200 Wildwood Parkway, 2-05C-05, Atlanta, GA 30339 to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent:

I also authorize Perkins Coie LLP to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Perkins Coie on behalf of the owner.

**Certificate Under 37 C.F.R. §3.73(b)**

I hereby certify that General Electric Company of 1 River Road, Schenectady, NY 12345 is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, *a copy of the assignment being attached.*

I have reviewed the documents in the chain of title of the patent application identified above, and to the best of my knowledge and belief, title is in the aforesaid assignee for which I am empowered to act in this matter.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**GENERAL ELECTRIC COMPANY**

November 14, 2001 By: Ernest G. Cusick  
Date Name: PATENT COUNSEL  
Title: ERNEST G. CUSICK

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(25MB-0074)

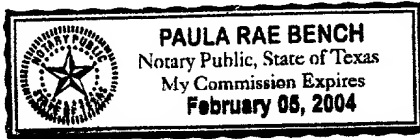
ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Marv B. Phelps of 7850 E. Holmes Road, Memphis, Tennessee 38125 and William F. Cooney of 687 Acorn Drive, Niskavuna, New York 12309, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in SYSTEM FOR ASSESSING THE RISK OF PROJECTS invented by us, as described in the application for United States Patent filed on July 5, 2001 as Application No. 09/900,489; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Mary B. Phelps

This 29 day of November, 2001, before me personally came the above-named Mary B. Phelps, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Paula Rae Benich

My Commission Expires: 2-9-04

November 29, 2001  
Date

William F. Cooney  
William F. Cooney

STATE OF New York )  
COUNTY OF Schenectady ) ss:

This 29<sup>th</sup> day of November, 2001 before me personally came the above-named William F. Cooney, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Vanita R. Downey  
Notary Public  
My Commission Expires: \_\_\_\_\_

**VANITA R. DOWNEY**  
Notary Public, State of New York  
Qualified in Rensselaer County  
No. 01DO6027261  
Commission Expires June 28, 20 03